



# Excavation Contractor Questionnaire

Name Insured: \_\_\_\_\_

Producer: \_\_\_\_\_

1 Please enter the % of the risk's own payroll or sales that emanate from the following operations. Exclude work for the risk subcontractors.

- a. Excavation > (provide maximum depth) \_\_\_\_\_ ft a. \_\_\_\_\_ %
- b. Irrigation or Drains Construction > (provide maximum depth) \_\_\_\_\_ ft b. \_\_\_\_\_ %
- c. Gas mains or connections construction > (provide maximum depth) \_\_\_\_\_ ft c. \_\_\_\_\_ %
- d. Sewer mains or connections construction > (provide maximum depth) \_\_\_\_\_ ft d. \_\_\_\_\_ %
- e. Water mains or connections construction > (provide maximum depth) \_\_\_\_\_ ft e. \_\_\_\_\_ %
- f. Street and Road Construction f. \_\_\_\_\_ %
- g. Tunneling operations for installation, service or repair of underground utility lines using Trenchless Excavation Construction (TEC) method. g. \_\_\_\_\_ %
- h. Swimming pool construction h. \_\_\_\_\_ %

2 Are open trenches barricaded when not in use and signs posted such as "Warning Open Trench?"  YES  NO

- 3 What steps are taken to protect workers engaged in trench operations?
- Shoring (the practice of bracing the trench walls with supports in order to prevent caveins)
  - Sloping trenches (trench walls are at an angle of less than 45 degrees)
  - Benching technique (digging out steps, each one no more than four feet in height, that descend into the trench)

4 Does this risk do major DOT work?  YES  NO

5 Does risk communicate with the One-Call Service Center and the area utility owners that are not members of One-Call prior to all scheduled excavation work?  YES  NO

6 Has risk been cited for any OSHA violations in last 3 years?  YES  NO

7 Does risk have a New Hire Orientation Program with pre-hire physicals, drug screenings etc?  YES  NO

8 Are safety meeting held on at least a quarterly basis; do managers and employees attend, and are attendance records kept?  YES  NO  
If less than quarterly, how often? \_\_\_\_\_

9 Does this risk have a formalized safety incentive program?  YES  NO  
If so give a description.

10 Is there a Safety Director on staff with no other job responsibilities?  YES  NO

11 Is there a formal accident investigation program?  YES  NO

12 Does this risk have a formal Return To Work Program in place?  YES  NO

13 Does risk perform pre-hire and post accident drug testing?  YES  NO

14 Are MVR's ordered on new employees before hire and then annually?  YES  NO

15 Union?  YES  NO

16 Health benefits?  YES  NO

Employee Participation \_\_\_\_\_ % Employee Contribution \_\_\_\_\_ %

17 Does risk hire subcontractors?

YES

NO

If "Yes" what is the %  %

**List the types of work subcontracted**

a. Does risk obtain Certificates of Insurance from all subs?

YES

NO

b. Does the risk use a diary system to track the expiration dates of COI's?

YES

NO

Signed

Dated